

**PART B - FEE(S) TRANSMITTAL**

Complete and send this form, together with applicable fee(s), to: **Mail**

**Mail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
(571) 273-2885**

or **Fax**

**INSTRUCTIONS:** This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

27572 7590 11/09/2005

**HARNES, DICKEY & PIERCE, P.L.C.  
P.O. BOX 828  
BLOOMFIELD HILLS, MI 48303**



02/14/2006 CNGUYEN1 00000077 10771665

01 FC:2501 700.00 OP  
02 FC:1504 300.00 OP  
03 FC:8001 30.00 OP

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

**Certificate of Mailing or Transmission**

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

|                    |
|--------------------|
| (Depositor's name) |
| (Signature)        |
| (Date)             |

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/771,665      | 02/04/2004  | Paul Joseph Candela  | 4781-001/CPA        | 3589             |

TITLE OF INVENTION: WATER LEAK MITIGATION SYSTEM

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|-----------|-----------------|------------------|------------|
| nonprovisional | YES          | \$700     | \$300           | \$1000           | 02/09/2006 |

| EXAMINER  | ART UNIT | CLASS-SUBCLASS |
|-----------|----------|----------------|
| LA, ANH V | 2636     | 340-605000     |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Harnes, Dickey & Pierce  
P.L.C.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Flo-Guard Water Leak Mitigation Systems, L.L.C.

Clinton Township, MI

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☐ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
- ☒ Publication Fee (No small entity discount permitted)
- ☒ Advance Order - # of Copies 10

4b. Payment of Fee(s):

- ☒ A check in the amount of the fee(s) is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☐ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 08-0750 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

W.R. Duke Taylor

Date February 9, 2006

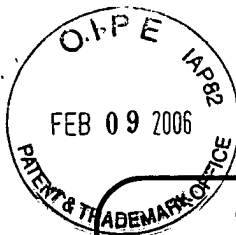
Typed or printed name

W.R. Duke Taylor

Registration No. 31,306

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

|   |                        |                  |
|---|------------------------|------------------|
| <b>TRANSMITTAL<br/>FORM</b><br>(to be used for all correspondence after initial filing) | Application Number     | 10/771,665       |
|   | Filing Date            | February 4, 2004 |
|   | First Named Inventor   | Paul J. Candela  |
|   | Art Unit               | 2636             |
|   | Examiner Name          | Anh V. La        |
| Total Number of Pages in This Submission  | Attorney Docket Number | 4781-000001/CPA  |

**ENCLOSURES (check all that apply)**

|   |  |   |
|---|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Response to Missing Parts/<br>Incomplete Application<br><input type="checkbox"/> Response to Missing<br>Parts under 37 CFR<br>1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a<br>Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to<br>Group<br><input type="checkbox"/> Appeal Communication to Board of<br>Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group<br>(Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s)<br>(please identify below):<br><b>Certificate of Mailing - Issue Fee;<br/>Issue Fee Transmittal Form -<br/>Part B</b> |
| <b>Remarks</b>  |  |   |

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

|                               |                                  |                                    |                    |
|-------------------------------|----------------------------------|------------------------------------|--------------------|
| Firm<br>or<br>Individual name | Harness, Dickey & Pierce, P.L.C. | Attorney Name<br>W. R. Duke Taylor | Reg. No.<br>31,306 |
| Signature                     |                                  |                                    |                    |
| Date                          | February 9, 2006                 |                                    |                    |

**CERTIFICATE OF TRANSMISSION/MAILING**

|   |                   |                           |                              |
|---|-------------------|---------------------------|------------------------------|
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. |                   |                           |                              |
| Typed or printed name   | W. R. Duke Taylor | Express Mail<br>Label No. | EV 717 343 984 US (2/9/2006) |
| Signature   |                   | Date                      | February 9, 2006             |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

EV 717 343 984 US



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 1030

## Complete if Known

|                      |                  |
|----------------------|------------------|
| Application Number   | 10/771,665       |
| Filing Date          | February 4, 2004 |
| First Named Inventor | Paul J. Candela  |
| Examiner Name        | Anh V. La        |
| Art Unit             | 2636             |
| Attorney Docket No.  | 4781-000001/CPA  |

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money ☐ Other ☐ None  
Order☒ Deposit Account:Deposit  
Account  
Number

08-0750

Deposit  
Account  
Name

Harness, Dickey &amp; Pierce, PLC

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) or any underpayment of fees under 37 CFR 1.16 and 1.17  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Entity |          | Small Entity |          | Fee Description        | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                        |          |
| 1001         | 790      | 2001         | 395      | Utility filing fee     |          |
| 1002         | 350      | 2002         | 175      | Design filing fee      |          |
| 1003         | 550      | 2003         | 275      | Plant filing fee       |          |
| 1004         | 790      | 2004         | 395      | Reissue filing fee     |          |
| 1005         | 160      | 2005         | 80       | Provisional filing fee |          |
| SUBTOTAL (1) |          |              |          |                        | (\$) 0   |

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

|                    |  |        |   |   |   |  |   |   |
|--------------------|--|--------|---|---|---|--|---|---|
| Total Claims       |  | -20 ** | = | 0 | X |  | = | 0 |
| Independent Claims |  | -3 **  | = | 0 | X |  | = | 0 |
| Multiple Dependent |  |        |   |   | X |  | = | 0 |

| Large Entity |          | Small Entity |          | Fee Description  |
|--------------|----------|--------------|----------|--|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |
| 1202         | 50       | 2202         | 25       | Claims in excess of 20                                     |
| 1201         | 200      | 2201         | 100      | Independent claims in excess of 3                          |
| 1203         | 360      | 2203         | 180      | Multiple dependent claim, if not paid                      |
| 1204         | 200      | 2204         | 100      | ** Reissue independent claims over original patent         |
| 1205         | 50       | 2205         | 25       | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)

(\$) 0

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

| Large Entity  |          | Small Entity |          | Fee Description  | Fee Paid |
|---|----------|--------------|----------|--|----------|
| Fee Code  | Fee (\$) | Fee Code     | Fee (\$) |  |          |
| 1051  | 130      | 2051         | 65       | Surcharge - late filing fee or oath  |          |
| 1052  | 50       | 2052         | 25       | Surcharge - late provisional filing fee or cover sheet.                    |          |
| 1053  | 130      | 1053         | 130      | Non-English specification  |          |
| 1812  | 2,520    | 1812         | 2,520    | For filing a request for <i>ex parte</i> reexamination                     |          |
| 1804  | 920*     | 1804         | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 1805  | 1,840*   | 1805         | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 1251  | 120      | 2251         | 60       | Extension for reply within first month                                     |          |
| 1252  | 450      | 2252         | 225      | Extension for reply within second month                                    |          |
| 1253  | 1020     | 2253         | 510      | Extension for reply within third month                                     |          |
| 1254  | 1590     | 2254         | 795      | Extension for reply within fourth month                                    |          |
| 1255  | 2160     | 2255         | 1080     | Extension for reply within fifth month                                     |          |
| 1401  | 500      | 2401         | 250      | Notice of Appeal   |          |
| 1402  | 500      | 2402         | 250      | Filing a brief in support of an appeal                                     |          |
| 1403  | 1000     | 2403         | 500      | Request for oral hearing   |          |
| 1451  | 1,510    | 1451         | 1,510    | Petition to institute a public use proceeding                              |          |
| 1452  | 500      | 2452         | 250      | Petition to revive - unavoidable   |          |
| 1453  | 1500     | 2453         | 750      | Petition to revive - unintentional   |          |
| 1501  | 1400     | 2501         | 700      | Utility issue fee (or reissue)   | 700      |
| 1502  | 800      | 2502         | 400      | Design issue fee   |          |
| 1503  | 1100     | 2503         | 550      | Plant issue fee  |          |
| 1460  | 130      | 1460         | 130      | Petitions to the Commissioner  |          |
| 1807  | 50       | 1807         | 50       | Processing fee under 37 CFR 1.17 (q)                                       |          |
| 1806  | 180      | 1806         | 180      | Submission of Information Disclosure Stmt                                  |          |
| 8021  | 40       | 8021         | 40       | Recording each patent assignment per property (times number of properties) |          |
| 1809  | 790      | 2809         | 395      | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 1810  | 790      | 2810         | 395      | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 1801  | 790      | 2801         | 395      | Request for Continued Examination (RCE)                                    |          |
| 1802  | 900      | 1802         | 900      | Request for expedited examination of a design application                  |          |
| Other fee (specify) 1504-Publication fee (\$300); 8001-Copies of issued patent (\$30) |          |              |          |  | 330      |

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 1030

## SUBMITTED BY

|                   |                  |                                   |                  |           |                |
|-------------------|------------------|-----------------------------------|------------------|-----------|----------------|
| Name (Print/Type) | W.R. Duke Taylor | Registration No. (Attorney/Agent) | 31,306           | Telephone | (248) 641-1600 |
| Signature         |                  | Date                              | February 9, 2006 |           |                |



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 4781-000001/CPA

PATENT

Group Art Unit: 2636 )  
)  
Examiner: Anh V. La )  
)  
Applicant: Paul J. Candela )  
)  
Serial No.: 10/771,665 )  
)  
Filed: February 4, 2004 )  
)  
For: WATER LEAK MITIGATION SYSTEM )

**CERTIFICATE OF MAILING -  
ISSUE FEE**

Notice of Allowance  
Dated: November 9, 2005  
Confirmation No. 3589

Mail Stop Issue Fee  
Director of the United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This is to certify that the Issue Fee Transmittal Form PTOL-85 in the above-identified application, duly executed, is being deposited along with this Certificate with the United States Postal Service as first-class mail in an envelope with sufficient postage addressed to Mail Stop Issue Fee, Director of the U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on February 9, 2006.

The Issue Fee in the amount of \$700, publication fee of \$300 and the \$30.00 charge for ten advanced copies of the issued patent, for a total amount of \$1,030.00, is enclosed.

Respectfully submitted,

By: 

W.R. Duke Taylor

Reg No. 31,306

Attorney for Applicant

HARNESSE, DICKEY & PIERCE, P.L.C.  
P.O. Box 828  
Bloomfield Hills, MI 48303  
(248) 641-1600

Date: February 9, 2006